

## **RTX Conflict Minerals Policy**

The United Nations and various human right organizations has over the years highlighted issues with violence and human rights violations in relation to the mining of certain minerals from a location described as the "Conflict Region", which is situated in the eastern portion of the Democratic Republic of the Congo (DRC) and adjoining countries.

The region is controlled by nongovernment military groups, or unlawful military fractions and armed conflicts are being intensified as these military groups gain access to large quantities of arms. These purchases are financed by the sale of cassiterite, gold, and other minerals used to produce rare metals.

USA, EU and Australia among others are taking initiatives to ensure minerals from the Conflict Region is not used by the electronics industry by requesting companies to practice reasonable due diligence with their supply chain.

RTX understands and agrees with the purpose of the law from a human rights perspective and acts based on a policy of not purchasing or using minerals "financing conflict" sourced from the Democratic Republic of the Congo or neighboring countries.

To meet this commitment RTX have put in place a due diligence process based on the OECD Guidance to map our supply chain and in line with recommendations from the European Commission, request our suppliers to follow The Organisation for Economic Cooperation and Development (OECD) guidelines for this matter: <a href="http://www.oecd.org/daf/inv/mne/mining.htm">http://www.oecd.org/daf/inv/mne/mining.htm</a>

RTX is continuously obtaining information from current and new component and material suppliers concerning the origin of the metals that are used in the manufacture of RTX designs and products. Based upon information provided by our suppliers, RTX does not knowingly use metals derived from the Conflict Region in our products.

Suppliers of metals used in the manufacture of RTX products (specifically gold, tin, tantalum, and tungsten) must demonstrate that they are aware of and understand the legislation related to conflict minerals and will not knowingly procure specified metals that originate from the Conflict Region.

In particular the <u>EU Conflict Minerals Regulation EU/2017/821</u> as well as section 1502 of the <u>Dodd-Frank Wall Street Reform and Consumer Protection Act</u> and the following form and rule by The SECURITIES AND EXCHANGE COMMISSION (17 CFR PARTS 240 and 249b, <a href="http://www.sec.gov/rules/final/2012/34-67716.pdf">http://www.sec.gov/rules/final/2012/34-67716.pdf</a>) must be known to our suppliers.